2023 Continuum of Care Funding Competition

Rating Matrix for New and Renewal Applications

Contents

Background Information

The purpose of this document is to illustrate how questions on the Continuum of Care's (CoC) Supplemental Project Applications and e-snaps applications correspond to criteria articulated in the Department of Housing and Urban Development's 2023 Rating and Ranking Tool (version 7.0) and 2023 NOFO for the Continuum of Care Competition. An overview of the threshold criteria that will be considered during the rating and ranking process is provided. Additionally, tables mapping the e-snaps application and both the New Project Supplemental Application(s) and the Renewal Project Supplemental Application to these criteria are included for clarity. These allow the CoC to demonstrate what requirements each question addresses, who will be responsible for providing a rating, and how many points will be assigned to each question.

Overview of Rating Criteria

CoC Threshold Criteria

The CoC has established seven threshold criteria. If an applicant does not meet these threshold requirements, their application will not be rated and submitted to HUD for consideration.
These threshold criteria are:

- ✓ Project will use the local Homeless Management Information System established by the CoC. For Victim Service Providers (VSPs) project must use RI's comparable database for survivors of domestic violence.
- ✓ Project will follow the CoC's Coordinated Entry Policy and Procedures.
- ✓ Project will use a Housing First and Low Barrier approach.
- ✓ Project will comply with HUD's Equal Access and Fair Housing Rules and commits to ongoing training on both regulations that include implementation strategies.
- ✓ Documented, secured minimum match of at least 25% of the CoC funds requested (excluding any amount in the leasing budget line item).
- ✓ Acceptable organizational audit and management letter (if any) or financial statements
- ✓ Active participation in the CoC. An Agency representative has attended or will commit to attending a minimum of four CoC membership meetings.

HUD Threshold Criteria

Each of the questions related to the HUD Threshold criteria are provided in the Assurances tables. These questions address issues such as compliance with federal law and standard accounting practices. The CoC requires project applicants to submit an attachment entitled "Assurances" that will ask applicants to attest to their compliance with these HUD Threshold criteria.

CoC Local Criteria

The CoC local criteria ensure that applicants are responsive to local needs and requirements, while also meeting the standards laid out in the 2023 CoC NOFO. This is a high-level overview of the local criteria. The detailed Rating and Ranking tool will be made available to all project applicants and posted on the CoC's website as soon as possible.

New Projects

For new projects these criteria address:
- ✓ Organizational experience and capacity
- ✓ Design of housing and supportive services
- ✓ Timeliness
- ✓ Documented organizational financial stability
- ✓ Project effectiveness based on best practices
- ✓ Financial feasibility of project
- ✓ Projected cost per exit to permanent housing
- ✓ Application is complete and data consistent throughout the application
- ✓ Serves a high need population
- ✓ Strategy to reduce rate of returns to homelessness
- ✓ Identification of common factors of individuals that return to homelessness
- ✓ Collaboration and Coordination
- ✓ Strategy to further fair housing and market housing and supportive services
- ✓ Assistance to clients increasing access to employment and non-employment cash resources and other mainstream benefits

Renewal Projects

For renewal projects, these criteria address:
- ✓ System Performance Measures, including length of stay, exits to permanent housing, returns to homelessness, and new or increased income and earned income
- ✓ Serves a high need population
- ✓ Project effectiveness and use of best practices
- ✓ Financial feasibility of project
- ✓ Reasonable cost per exit to permanent housing
- ✓ Application is complete and data is consistent throughout the application
- ✓ Data quality is at or above 90%
- ✓ Bed/utilizations rates are at or above 80%
- ✓ Strategy to reduce rate of returns to homelessness
- ✓ Identification of common factors of individuals that return to homelessness
- ✓ Collaboration and Coordination
- ✓ Strategy to further fair housing and market housing and supportive services
- ✓ Assistance to clients to increase access to employment and non-employment cash resources and other mainstream benefits

The Rating and Ranking Committee may also review information in the Line of Credit Control System (LOCCS); Annual Performance Reports (APRs); and information derived from monitoring, including monitoring reports and financial audit reports as applicable, as well as performance standards on prior grants.

New Project Application

HUD Threshold Criteria

Application Question #	Question	Question Type		Responsible for Rating	Meets Threshold
Assurances	__Yes __No 1. Applicant has Active SAM registration with current information.	HUD Threshold		R&R Lead	Y/N
Assurances	__Yes __No 2. Applicant has Valid UEI number in application.	HUD Threshold		R&R Lead	Y/N
Assurances	__Yes __No 3. Applicant has no Outstanding Delinquent Federal Debts- It is HUD policy, consistent with the purposes and intent of 31 U.S.C. 3720B and 28 U.S.C. 3201(e), that applicants with outstanding delinquent federal debt will not be eligible to receive an award of funds, unless: (a) A negotiated repayment schedule is established and the repayment schedule is not delinquent, or (b) Other arrangements satisfactory to HUD are made before the award of funds by HUD.	HUD Threshold		R&R Lead	Y/N
Assurances	__Yes __No 4. Applicant has no Debarments and/or Suspensions - In accordance with 2 CFR 2424, no award of federal funds may be made to debarred or suspended applicants, or those proposed to be debarred or suspended from doing business with the Federal Government.	HUD Threshold		R&R Lead	Y/N

Assurances	__Yes __No 5. Applicant has Accounting System - HUD will not award or disburse funds to applicants that do not have a financial management system that meets federal standards as described at 2 CFR 200.302. HUD may arrange for a survey of financial management systems for applicants selected for award who have not previously received federal financial assistance or where HUD Program officials have reason to question whether a financial management system meets federal standards, or for applicants considered high risk based on past performance or financial management findings.	HUD Threshold		R&R Lead	Y/N
Assurances	__Yes __No 6. Applicant has disclosed any violations of Federal criminal law - Applicants must disclose in a timely manner, in writing to HUD, all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Failure to make required disclosures can result in any of the remedies described in 2 CFR §200.338, Remedies for noncompliance, including suspension or debarment. This mandatory disclosure requirement also applies to subrecipients of HUD funds who must disclose to the pass-through entity from which it receives HUD funds.	HUD Threshold		R&R Lead	Y/N
Assurances	__Yes __No 7. Applicant has demonstrated they are Eligible Project Applicants - Eligible project applicants for the CoC Program Competition are, under 24 CFR 578.15, nonprofit organizations, States, local governments, and instrumentalities of State and local governments. Public housing agencies, as such term is defined in 24 CFR 5.100, are eligible without limitation or exclusion. Neither for-profit entities nor Indian tribes are eligible to apply for grants or to be subrecipients of grant funds.	HUD Threshold		R&R Lead	Y/N
Assurances	__Yes __No 8. Applicant has submitted the required certifications as specified in the NOFA.	HUD Threshold		R&R Lead	Y/N

Assurances	__Yes __No 9. Applicant has demonstrated the project is cost-effective, including costs of construction, operations, and supportive services with such costs not deviating substantially from the norm in that locale for the type of structure or kind of activity.	HUD Threshold		R&R Lead	Y/N
Assurances	__Yes __No 10. Applicant has demonstrated they Participate in HMIS - Project applicants, except Collaborative Applicants that only receive awards for CoC planning costs and, if applicable, UFA Costs, must agree to participate in a local HMIS system. However, in accordance with Section 407 of the Act, any victim service provider that is a recipient or subrecipient must not disclose, for purposes of HMIS, any personally identifying information about any client. Victim service providers must use a comparable database that complies with the federal HMIS data and technical standards. While not prohibited from using HMIS, legal services providers may use a comparable database that complies with federal HMIS data and technical standards, if deemed necessary to protect attorney client privilege.	HUD Threshold		R&R Lead	Y/N
Assurances	__Yes __No 11. Applicant has demonstrated Project Meets Minimum Project Standards - HUD will assess all new projects for the following minimum project eligibility, capacity, timeliness, and performance standards. Please note that these are minimum threshold criteria. CoCs and project applicants should carefully review each year's NOFA to ensure they understand and have accounted for all applicable standards. To be considered as meeting project quality threshold, all new projects must meet all of the following criteria: (a) Project applicants and potential subrecipients must have satisfactory capacity, drawdowns, and performance for existing grant(s) that are funded under the SHP, S+C, or CoC Program, as evidenced by timely reimbursement of subrecipients, regular drawdowns, and timely resolution of any monitoring findings; (b) For expansion projects, project applicants must clearly articulate the part of the project that is being expanded. Additionally, the project	HUD Threshold		R&R Lead	Y/N

	applicants must clearly demonstrate that they are not replacing other funding sources; and,			
	Project applicants must demonstrate they will be able to meet all timeliness standards per 24 CFR 578.85. Project applicants with existing projects must demonstrate that they have met all project renewal threshold requirements of this NOFA. HUD reserves the right to deny the funding request for a new project, if the request is made by an existing recipient that HUD finds to have significant issues related to capacity, performance, unresolved audit or monitoring finding related to one or more existing grants, or does not routinely draw down funds from eLOCCS at least once per quarter. Additionally, HUD reserves the right to withdraw funds if no APR is submitted on the prior grant.			

CoC Threshold Criteria

Application Question #	Question and Threshold Criterion	Question Type	Rating and Ranking Tool Correlation	Responsible for Rating	Meets Threshold
Supplemental Application #6 and 6a&b	Does the organization currently participate in FCCoCs Coordinated Entry System? If so, please note for which program or project. If not, please describe the plan for quickly moving to full participation in Coordinated Entry. *New projects will meet this threshold if they currently participate in Coordinated Entry with a different project or if they provide a plan for quickly moving to full participation in Coordinated Entry.*	CoC Threshold	Coordinated Entry Participation	R&R Lead	Y/N

Supplemental Application #7 and 7a	Does the project intend to offer a Housing First Approach? ___Yes ___No If yes, please describe how the project utilizes a Housing First and Low Barrier approach. *Project will meet this threshold requirement If they select "Yes" to question 7 and describe how the project will utilize a Housing First and low barrier approach.*	CoC Threshold	Housing First/Low Barrier Implementation	R&R Lead	Y/N
Supplemental Application #4 and #5	Amount of Funding Requested: _____ Amount of Match documented with letters attached to project application in e-snaps:_____ *Project will meet this threshold requirement if they provide documented evidence of secured match that is equal to or greater than 25% of the requested funding (excluding any amount in the leasing budget line item).*	CoC Threshold	Documented, secured minimum match (of 25%)	R&R Lead	Y/N
N/A	Active CoC participation. An Agency representative has attended or will commit to attending a minimum of four CoC membership meetings. *New Projects will automatically meet this threshold requirement.*	CoC Threshold	Applicant is an active CoC Participant	R&R Lead	Y/N
Supplemental Application Attachment	**Most recent audit and management letter (if any) or audited financial statements** Submit a recent copy of the agency/program audit (within the past three years) conducted by a Certified Public Accountant. Eligible audits must be for fiscal year review periods between January 1, 2021 and December 31, 2022, and must contain at least one full year (12 months) of financial records within the review periods. This must be a full, signed audit that includes an Independent Auditor's Report expressing an opinion regarding all pertinent material aspects of the agency's finances. (Independent is defined as a third-party auditor submitting a report on the auditing agency's letterhead.) **OR** Submit a recent copy of the agency/program financial statements containing at least one full year (12 months) of financial records between January 1, 2022, and December 31, 2022.	CoC Threshold	Acceptable organizational audit/financial review	R&R Lead	Y/N

	Projects will meet this threshold if a recently conducted audit for the time period between January 1, 2021 – December 31, 2022 or an annual financial statement for the time period between January 1, 2022 and December 31, 2022 is provided along with the management letter and organization's response to the management letter, if any. To be considered "acceptable" the audited financial statements must not identify any significant deficiencies or material weaknesses in the internal controls of the organization that have not been remedied.				

Local CoC Rating Criteria

Application Question #	Question and Rating Criterion	Question Type	Rating and Ranking Tool Correlation	Responsible for Rating	Pts.
Supplemental Application #13	Please describe the organization's experience in working with the proposed population and in providing housing as proposed in the application. *Raters will score based on the length and type of experience the applicant has with the populations and housing services proposed, if any.*	Local CoC Rating Criteria	Experience A	Raters	0 - 15
Supplemental Application #7, 7a, 14, 15, and 16	Does the project intend to offer a Housing First approach? ☐ Yes ☐ No If yes, please describe how the project will utilize a Housing First and Low Barrier approach. Describe the project's eligibility criteria. Describe the process and criteria for exiting clients. Describe the proposed process to address clients' situations that may jeopardize housing or project assistance.	Local CoC Rating Criteria	Experience B.	Raters	10

Application Question #	Question and Rating Criterion	Question Type	Rating and Ranking Tool Correlation	Responsible for Rating	Pts.
	Raters will score based on the applicant's description of how they will utilize a Housing First and Low Barrier approach. To receive points for this criteria applicants must demonstrate that there are no preconditions to program entry and demonstrate that the project has an adequate process to address situations that may jeopardize housing or further assistance from the project. Termination from the program is utilized only in the most severe cases.				
e-snaps application 2B.1.	Describe your agency's experience in effectively utilizing federal funds including HUD grants and other public funding, including satisfactory drawdowns and performance for existing grants as evidenced by timely reimbursement of subrecipients (if applicable), regular drawdowns, timely resolution of monitoring findings, and timely submission of required reporting on existing grants. *Raters will score based on the length of experience and breadth of experience with effectively utilizing federal funds including HUD grants and other federal funding. The applicant's description can include the experience of individual staff/ board members and/ or the experience of the organization as a whole.*	Local CoC Rating Criteria	Experience C.	Raters	0 - 10
e-snaps application 3B.1. and Supplemental Application #2 and 11	Provide a description that addresses the entire scope of the proposed project. Provide a brief overview of the new project including the focus population and service delivery approach. Describe how the organization addresses both housing and service needs to ensure families successfully maintain their housing once assistance ends. *Raters will score based on the extent to which the applicant:* 1. *Demonstrates understanding of the needs of the clients to be served;*	Local CoC Rating Criteria	Design of Housing and Supportive Services A.	Raters	0 - 15

Application Question #	Question and Rating Criterion	Question Type	Rating and Ranking Tool Correlation	Responsible for Rating	Pts.
	2. *Demonstrates that type, scale, and location of the housing fit the needs of the clients to be served;* 3. *Demonstrates that type and scale of all supportive services, regardless of funding source, meets the needs of the clients to be served;* 4. *Demonstrates how clients will be assisted in obtaining mainstream benefits; and* 5. *Establishes performance measures for housing and income that are objective.*				
Supplemental Application #19	Describe the agency's plan to assist clients to rapidly secure and maintain permanent housing that is safe, affordable, accessible, and acceptable to their needs. *Rater will score based on the applicant's description of the plan. Raters will include factors such as is a housing plan developed with the program participants preferences in mind, how quickly will permanent housing placements be made, will the client have a choice in regard to their housing, etc. as part of the score.*	Local CoC Rating Criteria	Design of Housing and Supportive Services B.	Raters	0 - 5
Supplemental Application #12 and 20	Describe how the organization will promote access to employment opportunities with private employment organizations (such as holding job fairs, outreach to employers, and partnering with staffing agencies) and is providing education and training, on-the-job training, internships, and employment opportunities for program participants. Describe how clients will be assisted to increase employment and/or income and to maximize their ability to live independently. *Raters will score based on the applicant's description on how clients will be assisted to increase employment and/ or income and to maximize their*	Local CoC Rating Criteria	Design of Housing and Supportive Services C.	Raters	0 - 5

Application Question #	Question and Rating Criterion	Question Type	Rating and Ranking Tool Correlation	Responsible for Rating	Pts.
	ability to live independently. Raters will include factors such as is a case plan developed with the participants goals in mind, does the organization have established partnerships with private employers or other workforce development agencies, does the agency provide these services directly or through referral, etc.				
Supplemental Application #21, 21a and b	Does the project leverage housing resources with subsidies or units not funded through the CoC or ESG programs. If yes, how? If the housing resources being leveraged for the project through a partnership is there a MOU in place? __Yes __No Separate Letter/ MOU Attached with Application? *3 points – if applicant selects "Yes" to the project leveraging housing resources with subsidies or units not funded through the CoC or ESG program and describes how.* *2 points – if applicant attaches a letter/ MOU with the application.* *0 points – if applicant selects no*	Local CoC Rating Criteria	Design of Housing & Supportive Services D.	Lead	5
Supplemental Application #22 and 22a	Does the project include a partnership commitment with a healthcare organization that leverages health resources? __Yes __No If Yes, how? Separate Letter/ MOU Attached with Application?	Local CoC Rating Criteria	Design of Housing & Supportive Services E.	Lead	5

Application Question #	Question and Rating Criterion	Question Type	Rating and Ranking Tool Correlation	Responsible for Rating	Pts.
	3 points – if applicant selects "Yes" to the project including a partnership commitment with a healthcare organization that leverages health resources and describes how. *2 points – if applicant attaches a letter/ MOU with the application.* *0 points – if applicant selects no*				
e-snaps Application 3B.2.	For each primary project location, or structure, enter the number of days from the execution of the grant agreement that each of the following milestones will occur if this project is selected for conditional award. *10 points - Project will start providing housing and supportive services to project participants within 90 days of grant execution.* *5 points – Project will start providing housing and supportive services to project participants within 120 days of grant execution.* *0 points – Project will take longer than 120 days to start providing housing and supportive services to project participants.*	Local CoC Rating Criteria	Timeliness A.	Lead	0 - 10
Supplemental Application #3a and e-snaps application 6j.15	How many individuals or families is the project designed to serve: Number of individuals_____ Total Project Budget for this grant, including match $_____ *Total project budget, including match ÷ the number of individuals proposed to be served.* *5 points – Equal to or lower than the CoC average cost per person for the specific project type*	Local CoC Rating Criteria	Financial A.	Lead	0 - 5

Application Question #	Question and Rating Criterion	Question Type	Rating and Ranking Tool Correlation	Responsible for Rating	Pts.
	3 points – Within 20% of the CoC average cost per person for the specific project type *0 points – more than 20% of the CoC average cost per person for the specific project type*				
Supplemental Application Attachment	Review of most recently completed independent audit or financial statements. *5 points – No exceptions to standard practices found* *5 points – Agency is identified as "low risk"* *5 points – No findings indicated*	Local CoC Rating Criteria	Financial B. 1-3	Lead	0 - 15
e-snaps Application	Budget submitted in e-snaps *Review of budget line items in the proposed application are determined to be reasonable, allocable, and eligible.*	Local CoC Rating Criteria	Financial D.	Lead	5
Supplemental Application #23	__Yes __No Does the organization have underrepresented individuals (BIPOC, LGBTQ+, etc.) in managerial and leadership positions?	Local CoC Rating Criteria	Equity Factors – Agency Leadership, Governance, and Policies #1	Lead	2
Supplemental Application #24	__Yes __No Does the organization's board of directors include representation from someone with lived experience of homelessness?	Local CoC Rating Criteria	Equity Factors – Agency Leadership, Governance, and Policies #2	Lead	2

Application Question #	Question and Rating Criterion	Question Type	Rating and Ranking Tool Correlation	Responsible for Rating	Pts.
Supplemental Application #25 and 25a	__Yes __No Does the organization have a process for receiving and incorporating feedback from persons with lived experience? If yes, how?	Local CoC Rating Criteria	Equity Factors – Agency Leadership, Governance, and policies #3	Lead	5
Supplemental Application #28	Describe the organization's plan to review participant outcomes data disaggregated by race, ethnicity, gender identity, and/ or age to identify disparities in your service provision, including any plans to collaborate with HMIS to develop a schedule for data review.	Local CoC Rating Criteria	Equity Factors – Program Participant Outcomes #1	Lead	5
Supplemental Application #29	Describe the organization's plan to review whether programmatic changes are needed to make program participant outcomes more equitable.	Local CoC Rating Criteria	Equity Factors – Program Participant Outcomes #2		5
Supplemental Application #28	Describe the organization's plan to review participant outcomes data disaggregated by race, ethnicity, gender identity, and/ or age to identify disparities in your service provision, including any plans to collaborate with HMIS to develop a schedule for data review.	Local CoC Rating Criteria	Equity Factors – Program Participant Outcomes #3	Lead	5
Supplemental Application	Project Type is Permanent Supportive Housing (PSH)	Local CoC Rating Criteria	Other and Local Criteria #1	Lead	3
e-snaps application	Application to expand an existing renewal project that received a reduction in funding during the FY 2022 CoC Competition.	Local CoC Rating Criteria	Other and Local Criteria #2	R&R Lead	10

Application Question #	Question and Rating Criterion	Question Type	Rating and Ranking Tool Correlation	Responsible for Rating	Pts.
Reallocation Attachment	New Permanent Supportive Housing (PSH) projects that agree in writing to transfer participants from a reallocated project into their newly applied for PSH program, if needed.	Local CoC Rating Criteria	Other and Local Criteria #3	R&R Lead	5
Supplemental Application #17	Does the project consider the severity of needs and vulnerabilities of program participants experiencing any of the following? *Projects that select and provide a reasonable explanation for one or more high need populations will receive full points.*	Local CoC Rating Criteria	Other and Local Criteria #4	Raters	5
Supplemental Application #10	Describe how street outreach will be conducted and tailored to persons experiencing homelessness who are least likely to request assistance. In your answer please indicate how frequently street outreach will be conducted (e.g., monthly, weekly, when identified by community members, etc.) *5 points - Detailed outreach plan and tailor their outreach efforts to persons experiencing homelessness who are the least likely to request assistance and conduct outreach on a regular basis, at least monthly.* *2.5 points – Detailed outreach plan and tailor their outreach efforts to persons experiencing homelessness who are the least likely to request assistance and conduct outreach on non-regular basis, less than monthly.*	Local CoC Rating Criteria	Other and Local Criteria #4	Raters	5

Renewal Project Application

HUD Threshold Criteria

Application Question #	Question	Question Type		Responsible for Rating	Meets Threshold
Assurances	__Yes __No 1. Applicant has Active SAM registration with current information.	HUD Threshold		Lead	Y/N
Assurances	__Yes __No 2. Applicant has Valid DUNS number in application.	HUD Threshold		Lead	Y/N
Assurances	__Yes __No 3. Applicant has no Outstanding Delinquent Federal Debts- It is HUD policy, consistent with the purposes and intent of 31 U.S.C. 3720B and 28 U.S.C. 3201(e), that applicants with outstanding delinquent federal debt will not be eligible to receive an award of funds, unless: (a) A negotiated repayment schedule is established and the repayment schedule is not delinquent, or (b) Other arrangements satisfactory to HUD are made before the award of funds by HUD.	HUD Threshold		Lead	Y/N
Assurances	__Yes __No 4. Applicant has no Debarments and/or Suspensions - In accordance with 2 CFR 2424, no award of federal funds may be made to debarred or suspended applicants, or those proposed to be debarred or suspended from doing business with the Federal Government.	HUD Threshold		Lead	Y/N
Assurances	__Yes __No 5. Applicant has disclosed any violations of Federal criminal law - Applicants must disclose in a timely manner, in writing to HUD, all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Failure to make required disclosures can result in any of the remedies described in 2 CFR §200.338,	HUD Threshold		Lead	Y/N

Application Question #	Question	Question Type	Responsible for Rating	Meets Threshold
	Remedies for noncompliance, including suspension or debarment. This mandatory disclosure requirement also applies to subrecipients of HUD funds who must disclose to the pass-through entity from which it receives HUD funds.			
Assurances	__Yes __No 6. Applicant has submitted the required certifications as specified in the NOFA.	HUD Threshold	Lead	Y/N
Assurances	__Yes __No 7. Applicant has demonstrated the population to be served meets program eligibility requirements as described in the Act, and project application clearly establishes eligibility of project applicants. This includes any additional eligibility criteria for certain types of projects contained in the NOFA.	HUD Threshold	Lead	Y/N
Assurances	__Yes __No 8. Applicant has agreed to Participate in HMIS - Project applicants, except Collaborative Applicants that only receive awards for CoC planning costs and, if applicable, UFA Costs, must agree to participate in a local HMIS system. However, in accordance with Section 407 of the Act, any victim service provider that is a recipient or subrecipient must not disclose, for purposes of HMIS, any personally identifying information about any client. Victim service providers must use a comparable database that complies with the federal HMIS data and technical standards. While not prohibited from using HMIS, legal services providers may use a comparable database that complies with federal HMIS data and technical standards, if deemed necessary to protect attorney client privilege.	HUD Threshold	Lead	Y/N
Assurances	__Yes __No 9. Applicant has met HUD Expectations - When considering renewal projects for award, HUD will review information in eLOCCS; Annual Performance Reports (APRs); and information provided from the local HUD CPD Field Office, including monitoring reports and A-133 audit reports as applicable, and performance standards on prior grants. HUD will also assess	HUD Threshold	Lead	Y/N

Application Question #	Question	Question Type		Responsible for Rating	Meets Threshold
	renewal projects using the following performance standards in relation to the project's prior grants: (a) Whether the project applicant's performance met the plans and goals established in the initial application, as amended; (b) Whether the project applicant demonstrated all timeliness standards for grants being renewed, including those standards for the expenditure of grant funds that have been met; (c) The project applicant's performance in assisting program participants to achieve and maintain independent living and records of success, except HMIS-dedicated projects that are not required to meet this standard; and, (d) Whether there is evidence that a project applicant has been unwilling to accept technical assistance, has a history of inadequate financial accounting practices, has indications of project mismanagement, has a drastic reduction in the population served, has made program changes without prior HUD approval, or has lost a project site.				
Assurances	__Yes __No 10. Applicant has met HUD financial expectations – If a project applicant has previously received HUD grants, the organization must have demonstrated its ability to meet HUD's financial expectations. If any of the following have occurred, the project applicant would NOT meet this threshold criteria: (a) Outstanding obligation to HUD that is in arrears or for which a payment schedule has not been agreed upon; (b) Audit finding(s) for which a response is overdue or unsatisfactory;	HUD Threshold		Lead	Y/N

Application Question #	Question	Question Type	Responsible for Rating	Meets Threshold
	(c) History of inadequate financial management accounting practices;			
	(d) Evidence of untimely expenditures on prior award;			
	(e) History of other major capacity issues that have significantly affected the operation of the project and its performance;			
	(f) History of not reimbursing subrecipients for eligible costs in a timely manner, or at least quarterly; and			
	(g) History of serving ineligible program participants, expending funds on ineligible costs, or failing to expend funds within statutorily established timeframes.			

CoC Threshold Criteria

Application Question #	Question and Threshold Criterion	Question Type	Responsible for Rating	Meets Threshold
Supplemental Application #9 and 9a	How many referrals did this project receive from Coordinated Entry from July 1, 2022 to June 30, 2023? _____ Of the number of referrals, how many clients were accepted? _____ *Projects that accept 85% or more of CE referrals will meet this threshold. If there is less than an 85% acceptance rate a reasonable description as to the reason why may be requested to determine if the project will meet this threshold.*	CoC Threshold	Coordinated Entry Participation	R&R Lead	Y/N

Supplemental Application #8 and 8a	Does this project follow a Housing First and Low Barrier Approach to servicing individuals and families? ___Yes ___No If yes, please describe how the project utilizes a Housing First and Low Barrier approach. *Projects that select "Yes" to this question and provide a description on how the project utilizes a Housing First and Low Barrier approach that is determined to be Housing First compliant will meet this threshold.*	CoC Threshold	Housing First/Low Barrier Implementation	R&R Lead	Y/N
Supplemental Application #4 and #6	Amount of Funding Requested: _____ Amount of Match documented with letters attached to project application in e-snaps:_____ *Project will meet this threshold requirement if they provide documented evidence of secured match that is equal to or greater than 25% of the requested funding (excluding any amount in the leasing budget line item).*	CoC Threshold	Documented, secured minimum match (of 25%)	R&R Lead	Y/N
N/A	Active CoC participation. An Agency representative has attended or will commit to attending a minimum of four CoC membership meetings. *Rating and Ranking Lead will use attendance from CoC membership meetings to confirm.*	CoC Threshold	Applicant is an active CoC Participant	R&R Lead	Y/N
Supplemental Application Attachment	**Most recent audit and management letter (if any) or audited financial statements** Submit a recent copy of the agency/program audit (within the past three years) conducted by a Certified Public Accountant. Eligible audits must be for fiscal year review periods between January 1, 2021 and December 31, 2022, and must contain at least one full year (12 months) of financial records within the review periods. This must be a full, signed audit that includes an Independent Auditor's Report expressing an opinion regarding all pertinent material aspects of the agency's finances. (Independent is defined as a third-party auditor submitting a report on the auditing agency's letterhead.) **OR**	CoC Threshold	Acceptable organizational audit/financial review	R&R Lead	Y/N

	Submit a recent copy of the agency/program financial statements containing at least one full year (12 months) of financial records between January 1, 2022, and December 31, 2022. *Projects will meet this threshold if a recently conducted audit for the time period between January 1, 2021 – December 31, 2022 or an annual financial statement for the time period between January 1, 2022 and December 31, 2022 is provided along with the management letter and organization's response to the management letter, if any. To be considered "acceptable" the audited financial statements must not identify any significant deficiencies or material weaknesses in the internal controls of the organization that have not been remedied.*				
Supplemental Application #7 and 7a&b	Project will use the local Homeless Management Information System established by the CoC. For Victim Service Providers (VSPs) projects must use RI's comparable database for survivors of domestic violence. *Applicants that answer "Yes" to question 7 and provide the name of at least one staff member in 7a will meet this threshold. Applicants that answer "No" to question 7 but answer "Yes" to 7b will also meet this threshold.*	CoC Threshold	HMIS Participation	R&R Lead	Y/N
Supplemental Application Attachments Policy Review	Project will comply with HUD's Equal Access and Fair Housing Rules and commits to ongoing training on both regulations that include implementation strategies. *Rating and Ranking Lead will review the policy ensuring that self-reported sexual orientation and gender identity are respected (Equal Access Rule) and the Fair Housing policy attached to the supplemental application.*	CoC Threshold	Equal Access and Fair Housing Rules	R&R Lead	Y/N

Local CoC Criteria

Application Question #	Question and Rating Criterion	Question Type	Rating and Ranking Tool Correlation	Responsible for Rating	Maximum Points
Most recently completed SAGE APR	On average, new program participants spend 15 days or less from project entry to residential move-in *CoC APR: Q22c Length of Time between Project Start Date and Housing Move-in Date. Applicants will receive full points If there were no new program participants enrolled during the APR year.*	Performance Measures	Length of Stay	R&R Lead	5
Most recently completed SAGE APR	Percent remain in or move to permanent housing *10 points - 90% or greater* *8 points – 85% - 89%* *6 points – 80% - 84%* *4 points – 70% - 79%* *0 points – less than 70%* *CoC APR: (Q23c total persons exiting to positive housing destinations + Q5a.8 Number of stayers) ÷ Q.5a.1 Total number of persons served*	Performance Measurement	Exits to Permanent Housing	R&R Lead	10
Most recently completed SAGE APR	Percent of participants with new or increased earned income for project stayers *5 points – 10% or more* *2.5 points – 5% - 9%* *0 points – less than 5%*	Performance Measurement	New or Increased Income and Earned Income #1	R&R Lead	5

	CoC APR Q19a1				
Most recently completed SAGE APR	Percent of participants with new or increased non-employment income project stayers 5 points – 15% or more 2.5 points – 10% - 14% 0 points – less than 10% CoC APR Q19a1	Perform ance Measur ement	New or Increased Income and Earned Income #2	R&R Lead	5
Most recently completed SAGE APR	Percent of participants with new or increased earned income for project leavers 5 points – 20% or more 2.5 points – 10% - 19% 0 points – less than 10% CoC APR Q19a2	Perform ance Measur ement	New or Increased Income and Earned Income #3	R&R Lead	5
Most recently completed SAGE APR	Percent of participants with new or increased non-employment income project leavers 5 points – 25% or more 2.5 points – 15% - 24% 0 points – less than 15% CoC APR Q19a2	Perform ance Measur ement	New or Increased Income and Earned Income #4	R&R Lead	5

Most recently completed SAGE APR	Minimum percent of participants with zero income at entry *5 points - 50% or greater* *2.5 points - 25% - 49%* *0 points – less than 25%* *CoC APR Q16 Income at Start ÷ Q05a.2 Number of adults*	Perform ance Measur ement	Serve High Needs Populations #1	R&R Lead	5
Most recently completed SAGE APR	Minimum percent of participants with more than one disability *5 points - 50% or greater* *2.5 points - 25% - 49%* *0 points – less than 25%* *CoC APR Q13a2 Number of Conditions at Start (2 conditions + 3+ Conditions) ÷ Q05a.2 Number of adults*	Perform ance Measur ement	Serve High Needs Populations #2	R&R Lead	5
Most recently completed SAGE APR	Minimum percent of participants entering project from place not meant for human habitation *5 points - 50% or greater* *2.5 points - 25% - 49%* *0 points – less than 25%* *CoC APR Q15.3 Living Situation "Place not meant for habitation" ÷ Q05a.2 Number of adults*	Perform ance Measur ement	Serve High Needs Populations #3	R&R Lead	5

Most recently completed SAGE APR	Costs are within local average cost per positive housing outcome for project type. *Total project expenditures (project expenditures + match) ÷ CoC APR: (Q23c total persons exiting to positive housing destinations + Q5a.8 Number of stayers) ÷ Q.5a.1 Total number of persons served* *10 points – Equal to or lower than the CoC average cost per positive housing outcome for the specific project type* *8 points – Within 5% of the CoC average cost per positive housing outcome for the specific project type* *6 points – within 10% of the CoC average cost per positive housing outcome for the specific project type* *4 points – within 15% of the CoC average cost per positive housing outcome for the specific project type* *2 points - within 20% of the CoC average cost per positive housing outcome for the specific project type* *0 points – exceeds 20% of the CoC average cost per positive housing outcome for the specific project type*	Perform ance Measur ement	Project Effectiveness #1	R&R Lead	10
CE & HMIS Data Review	Percent of entries to project from CE referral (or alternative system for DV projects, if any). *90% or more of entries into the project come from a CE referral (or alternative system for DV project, if any).*	Perform ance Measur ement	Project Effectiveness #2	HMIS Lead	10
Supplemental Application	Housing First and/ or Low Barrier Implementation – CoC assessment of fidelity to Housing First from review of project policies and procedures.	Perform ance	Project Effectiveness #3	R&R Lead	10

Attachments Policy Review	*Rating and Ranking Lead will review the Housing First Policy attached to the Supplemental Application*	Measurement			
Supplemental Application #25	__Yes __No Does the agency have under-represented individuals (BIPOC, LGBTQ+, etc.) in managerial and leadership positions?	Equity Factors	Agency Leadership, Governance, and Policies #1	R&R Lead	5
Supplemental Application #26	__Yes __No Does the agency Board of Directors include representation from someone with lived experience?	Equity Factors	Agency Leadership, Governance #2	R&R Lead	5
Supplemental Application #27 and 27a	__Yes __No Does the organization have process for receiving and incorporating feedback from persons with lived experience? If yes, how?	Equity Factors	Agency Leadership, Governance, and Policies #3	R&R Lead	5
Supplemental Application #28 and 29	What steps have you taken to identify barriers of participation faced by persons of different races, identities, particularly those overrepresented in the local homeless population? What steps have you taken or will you take to lower or eliminate these barriers?	Equity Factors	Agency Leadership, Governance, and Policies #4	R&R Lead	5
Supplemental Application #30 and 31	Describe the organization's plan to review participant outcomes data disaggregated by race, ethnicity, gender identity, and/ or age to identify disparities in your service provision, including any plans to collaborate with HMIS to develop a schedule for data review. If already implementing, describe findings: Describe the organization's plan to review whether programmatic changes are needed to make program participant outcomes more equitable. If already implementing, describe findings:	Equity Factors	Participant Outcomes #1-3	R&R Lead	15

	5 points – Recipient has reviewed program participant outcomes with an equity lens, including disaggregation of data by race, ethnicity, gender identity, age, and/ or other underserved populations.				
	5 points – Recipient has identified programmatic changes needed to make program participant outcomes more equitable and developed a plan to make those changes.				
	5 points – Recipient is working with HMIS lead to develop a schedule for reviewing HMIS data with disaggregation by race, ethnicity, gender identity, age, and/or other underserved populations.				
Supplemental Application #19	Move on Strategy. Describe how the project identifies and engages participants who no longer require intensive services who are able and willing to move out of the PSH program with a rental subsidy to other housing assistance programs (including, but not limited to, Housing Choice Vouchers and Public Housing). *Applicants that describe their Move On strategy and include how participants are identified for the strategy will meet this criterion.*	Other and Local Criteria	Other and Local Criteria #1	R&R Lead	5
Supplemental Application #20	Unit Turnkey. What is the average number of days it takes for the project to turnover a PSH unit and move in a new client? *Projects that, on average, turnover a PSH unit and move in a new client within 60 days will meet this criterion.*	Other and Local Criteria	Other and Local Criteria #2	R&R Lead	3
Supplemental Application #10	How much funding does the organization have in reserve to support the operations for this project? How many months do you estimate this funding will support the uninterrupted operations of the project? *5 points – 3 months*	Other and Local Criteria	Other and Local Criteria #3	R&R Lead	2

	3 points - 2 months *1 point - 1 month* *0 points - 0 months*				
Most recently completed SAGE APR	Data completeness and quality percentage for the following HMIS data elements are at 90% or greater: Name, SSN, Date of Birth, Race, Ethnicity, Gender, Veteran Status, Relationship to Head of Household, Client Location, Disabling Condition, Exit Destination, and Income and Sources at Start and Exit. *Each data element listed above has a 10% or less error rate as identified on the most recently completed SAGE APR.*	Other and Local Criteria	Other and Local Criteria #4	R&R Lead	5
Most recently completed SAGE APR	The average bed utilization rate for the project are at or above 80%. *CoC APR Question 07b average of the number of persons enrolled in the project on the last Wednesday of January, April, July, and October compared to the total beds proposed in the application.*	Other and Local Criteria	Other and Local Criteria #5	R&R Lead	10
Supplemental Application #22 - 24	Describe how the organization collaborates with youth education providers, local education agencies, and school districts to support youth experiencing homelessness. Does the project actively coordinate with the following systems of care to ensure that persons who have resided in them longer than 90 days are not discharged directly to the streets, emergency shelter (ES), or other homeless assistance programs? a. Foster Care ___Yes ___No b. Health Care ___Yes ___No	Other and Local Criteria	Other and Local Criteria #6	Raters	5

	c. Mental Health Care ___Yes ___No d. Correctional Facilities ___Yes ___No Describe how the organization partners with local workforce development centers to improve employment opportunities. *5 points – Applicant coordinates and/or collaborates with 5 or more systems of care* *4 points – Applicant coordinates and/or collaborates with 4 systems of care* *3 points – Applicant coordinates and/or collaborates with 3 systems of care* *2 points – Applicant coordinates and/or collaborates with 2 systems of care* *1 point – Applicant coordinates and/or collaborates with 1 system of care* *0 points – Applicant does not coordinate or collaborate with other systems of care*			